



Recd  
JF 3/28  
**PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: )  
KENICHI HARADA, ET AL. )  
Serial No: 10/080,460 ) Title: LIGHT DIFFUSION SHEET  
Filed: February 22, 2002 ) AND BACKLIGHT UNIT  
Atty. Docket No. 19036/38223 ) USING THE SAME  
 ) Group Art Unit: 2875  
 ) Examiner: Unknown

### TRANSMITTAL OF EXECUTED DECLARATION

*Commissioner for Patents*  
Washington, D.C. 20231

**Attention: BOX MISSING PART**

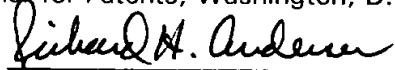
Sir:

Submitted herewith is an executed Declaration for filing in the above-identified application, in response to the Notice to File Missing Parts issued by the Patent and Trademark Office on March 12, 2002.

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#### CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on **March 22, 2002** in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.



Richard H. Anderson

Also enclosed is a copy of the Notice together with our check in the amount of \$65.00 in payment of the fee.

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 13-2855. A copy of this request is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

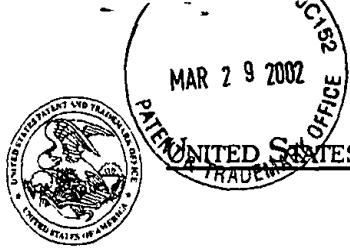
Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6357  
(312) 474-6300

By: Richard H. Anderson

Richard H. Anderson  
Reg. No: 26,526

March 22, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/080,460         | 02/22/2002          | Kenichi Harada        | 19036/38223            |

04743  
MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN  
6300 SEARS TOWER  
233 SOUTH WACKER DRIVE  
CHICAGO, IL 60606-6402

CONFIRMATION NO. 8398  
FORMALITIES LETTER  
  
\*OC00000007620650\*

Date Mailed: 03/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Docketed: 5-12-02

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice MUST be returned with the reply.*

Hayat  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/02/2002 MBERHE 00000072 10080460

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65.00 OP



PATENT

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
KENICHI HARADA, ET AL. )  
Serial No. 10/080,460 )  
Filed: February 22, 2002 )  
Title: )  
LIGHT DIFFUSION SHEET AND )  
BACKLIGHT UNIT USING THE SAME )  
Atty. Docket No. 19036/38223 )  
Group Art Unit: 2875 )  
Examiner: Unknown )

I hereby certify that this paper  
and the documents referred to  
as enclosed therewith are being  
deposited with the United States  
Postal Service as first class mail,  
postage prepaid, in an envelope  
addressed to:  
Commissioner for Patents,  
Washington, D.C. 20231  
on

March 22, 2002

*Richard H. Anderson*  
Richard H. Anderson  
Reg. No. 26,526

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is a copy of the official filing receipt received from the U.S. Patent and  
Trademark Office in the above application for which issuance of a corrected filing receipt is  
respectfully requested.

The errors are with respect to the following data which is incorrectly entered.

The errors appear in **Applicant(s)** section.

The city listed for each of the two named inventors is incorrect.

Namely, "Gobo-shi" and "Hidaka-gun" should read as -- Wakayama --.

Serial No.: 10/080,460  
Art Unit: 2875

- 2 -

The corrections are not due to any errors by Applicants and no fee is due.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

By: Richard H. Anderson  
Richard H. Anderson  
Reg. No. 26,526

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Attorneys for Applicants

Chicago, Illinois

March 22, 2002



MAR 29 2002

PATENT AND TRADEMARK OFFICE

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILED DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|------------|--------------|---------------|----------------|----------|------------|------------|
| 10/080,460         | 02/22/2002 | 2875         | 415           | 19036/38223    | 5        | 25         | 1          |

## CONFIRMATION NO. 8398

04743

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN  
 6300 SEARS TOWER  
 233 SOUTH WACKER DRIVE  
 CHICAGO, IL 60606-6402

RECEIPT

\*OC000000007620649\*

MAR 19 2002

MARSHALL GERSTEIN

Date Mailed: 03/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kenichi Harada, ~~Oobe-shi~~, JAPAN;  
 Hitoshi Masaki, ~~Hidaka-gun~~, JAPAN;

Wakayama  
 Wakayama

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2001-46673 02/22/2001  
 JAPAN 2001-278033 09/13/2001

## If Required, Foreign Filing License Granted 03/12/2002

## Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Light diffusion sheet and backlight unit using the same

## Preliminary Class

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).